## Long time behavior of leafwise heat flow for Riemannian foliations

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#### Abstract

For any Riemannian foliation  $\mathcal{F}$  on a closed manifold M with an arbitrary bundle-like metric, leafwise heat flow of differential forms is proved to preserve smoothness on M at infinite time. This result and its proof have consequences about the space of bundle-like metrics on M, about the dimension of the space of leafwise harmonic forms, and mainly about the second term of the differentiable spectral sequence of  $\mathcal{F}$ .

#### 1 Introduction and main results

For a smooth foliation  $\mathcal{F}$  on a closed Riemannian manifold M, leafwise heat flow means the evolution of an initial temperature distribution on M when the leaves are thermally isolated from each other. With more generality, we can consider leafwise heat flow induced by leafwise elliptic differential operators with symmetric leading symbol. In this paper, these operators are induced by leafwise elliptic differential complexes in the usual way.

Let E be a **Z**-graded Riemannian vector bundle over M, and d a first order leafwise elliptic differential complex on  $C^{\infty}(E)$ —the space of smooth sections of E. Let  $\delta$  denote the formal adjoint of d on M—it need not be formal adjoint of d on the leaves. Then  $D=d+\delta$  and  $\Delta=D^2=d\delta+\delta d$  are symmetric differential operators on  $C^{\infty}(E)$ , and thus essentially selfadjoint in the  $L^2$ -completion  $L^2(E)$  of  $C^{\infty}(E)$  (Theorem 2.2 in [8]). Then the spectral theorem defines the leafwise heat operator  $e^{-t\Delta}$  on  $L^2(E)$  for each  $t\geq 0$ .

The heat operator has a nice behavior on smooth sections at finite time: According to the work of J. Roe in [24],  $e^{-t\Delta}$  defines a continuous operator on

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 $C^{\infty}(E)$  which depends continuously on  $t \in [0, \infty)$ . But the main objective of this paper is to study the behavior of  $e^{-t\Delta}$  on  $C^{\infty}(E)$  at the limit when  $t \to \infty$ .

Recall that, by the spectral theorem,  $e^{-t\Delta}$  on  $L^2(E)$  strongly converges to the orthogonal projection  $\Pi$  onto the kernel of the unbounded operator defined by  $\Delta$  in  $L^2(E)$ . By setting  $e^{-\infty\Delta} = \Pi$ , we get a continuous map  $[0,\infty] \times L^2(E) \to L^2(E)$  given by  $(t,\phi) \mapsto e^{-t\Delta}\phi$ , where  $[0,\infty]$  is the one point compactification of  $[0,\infty)$ —see Section 2. Observe that  $\Pi$  need not preserve  $C^\infty(E)$  because D and  $\Delta$  may not be elliptic on M; they are only leafwise elliptic. The following result, which is proved in Section 2, gives general conditions for  $\Pi$  to preserve  $C^\infty(E)$ .

**Theorem 1.1** With the above notation, suppose that, on  $C^{\infty}(E)$ , there is a transversely elliptic first order differential operator A, and there are zero order differential operators G, H, K and L such that

$$Ad \pm dA = Gd + dH$$
,  $A\delta \pm \delta A = K\delta + \delta L$ . (1)

Then  $\Pi$  defines a continuous operator on  $C^{\infty}(E)$ , we have the leafwise Hodge decomposition

$$C^{\infty}(E) = \ker \Delta \oplus \overline{\operatorname{im} \Delta} = (\ker d \cap \ker \delta) \oplus \overline{\operatorname{im} d} \oplus \overline{\operatorname{im} \delta} ,$$

and 
$$(t,\phi) \mapsto e^{-t\Delta}\phi$$
 defines a continuous map  $[0,\infty] \times C^{\infty}(E) \to C^{\infty}(E)$ .

In this paper, Theorem 1.1 is only applied to Riemannian foliations. Such foliations are characterized by having isometric holonomy for some metric on local transversals. This property is equivalent to the existence of a bundle-like metric on M—a metric so that the foliation is locally defined by Riemannian submersions. Let us mention that Riemannian foliations were introduced by B. Reinhart [23], and certain description of their structure was given by P. Molino [21], [22].

We mainly apply Theorem 1.1 to the leafwise differential complex constructed as follows. Let  $\Omega(M)$  (or simply  $\Omega$ ) be the de Rham algebra of M. Consider the bigrading of  $\Omega$  given by

$$\Omega^{u,v} = C^{\infty} \left( \bigwedge^u T \mathcal{F}^{\perp *} \otimes \bigwedge^v T \mathcal{F}^* \right) , \quad u,v \in \mathbf{Z} .$$

The de Rham derivative and coderivative decompose as sum of bihomogeneous components,

$$d = d_{0.1} + d_{1.0} + d_{2.-1}$$
,  $\delta = \delta_{0.-1} + \delta_{-1.0} + \delta_{-2.1}$ ,

where the double subindixes denote the corresponding bidegrees. See [2], [1] and [3] for the properties of these components; in particular

- each  $\delta_{i,j}$  is the formal adjoint of  $d_{-i,-j}$  on M,
- $d_{2,-1}$  and  $\delta_{-2,1}$  are of order zero,
- $D_0 = d_{0,1} + \delta_{0,-1}$  and  $\Delta_0 = D_0^2$  are leafwise elliptic and symmetric, and
- $D_{\perp} = d_{1,0} + \delta_{-1,0}$  is transversely elliptic and symmetric.

Let  $L^2\Omega$  be the  $L^2$ -completion of  $\Omega$ , and  $\Pi$  the orthogonal projection onto the kernel of the unbounded operator defined by  $\Delta_0$  in  $L^2\Omega$ . We prove in Section 3 (Proposition 3.1) that, if  $\mathcal{F}$  is a Riemannian foliation and the metric bundle-like,  $(\Omega, d_{0,1})$  satisfies the conditions of Theorem 1.1 with  $D_{\perp}$  as A, obtaining the following result which solves affirmatively a conjecture of the first author and P. Tondeur [5].

**Theorem 1.2** Let  $\mathcal{F}$  be a Riemannian foliation on a closed manifold M with a bundle-like metric. Then  $\Pi$  defines a continuous operator on  $\Omega$ , we have the leafwise Hodge decomposition

$$\Omega = \ker \Delta_0 \oplus \overline{\operatorname{im} \Delta_0} = (\ker d_{0,1} \cap \ker \delta_{0,-1}) \oplus \overline{\operatorname{im} d_{0,1}} \oplus \overline{\operatorname{im} \delta_{0,-1}}, \qquad (2)$$

and  $(t, \alpha) \mapsto e^{-t\Delta_0} \alpha$  defines a continuous map  $[0, \infty] \times \Omega \to \Omega$ .

Theorem 1.2 is rather surprising. Indeed we can modify a given metric by introducing a huge bump of curvature on the plaques of a small foliation chart. Thus geodesics in close leaves have a sharply different behavior when going through that chart, and so is the behavior of leafwise heat flow on close leaves. Then one could wrongly think this phenomenon would break continuity of leafwise heat flow at infinite time.

The above bigrading is useful to understand the differentiable spectral sequence  $(E_i, d_i)$  of  $\mathcal{F}$  (see e.g. [2], [1]): There are canonical identities

$$(E_0, d_0) \equiv (\Omega, d_{0,1}), \quad (E_1, d_1) \equiv (H(\Omega, d_{0,1}), d_{1,0\star}).$$
 (3)

Moreover the  $C^{\infty}$  topology induces a topology on each  $E_i$  so that  $d_i$  is continuous. Such topology on  $E_1$  need not be Hausdorff [13], obtaining the bigraded subcomplex  $\bar{0}_1 \subset E_1$  defined as the closure of the trivial subspace. The quotient bigraded complex  $E_1/\bar{0}_1$  will be denoted by  $\mathcal{E}_1$ . We get

$$\mathcal{E}_1^{u,v} \cong \Omega^{u,v} \cap \ker \Delta_0 , \quad u,v \in \mathbf{Z} ,$$

as direct consequence of Theorem 1.2, yielding the following dualities where  $p = \dim \mathcal{F}$  and  $q = \operatorname{codim} \mathcal{F}$ : If M is oriented, then  $\mathcal{E}_1^{u,v} \cong \mathcal{E}_1^{q-u,p-v}$ ; if  $\mathcal{F}$  is oriented, then  $\mathcal{E}_1^{u,v} \cong \mathcal{E}_1^{q-u,p-v}$ ; and if  $\mathcal{F}$  is transversely oriented, then  $\mathcal{E}_1^{u,v} \cong \mathcal{E}_1^{q-u,v}$ . These isomorphisms are respectively induced by the Hodge star operators on  $\bigwedge TM^*$ ,  $\bigwedge T\mathcal{F}^*$  and  $\bigwedge T\mathcal{F}^{\perp *}$ .

This fits into a more general setting. First observe that  $(\Omega^{0,\cdot}, d_{0,1})$  can be canonically identified with the leafwise de Rham complex  $(\Omega(\mathcal{F}), d_{\mathcal{F}})$ , where  $\Omega(\mathcal{F}) = C^{\infty}(\bigwedge T\mathcal{F}^*)$  and  $d_{\mathcal{F}}$  is defined by the de Rham derivative on the leaves. Thus  $E_1^{0}$  can be identified with the leafwise cohomology and  $\mathcal{E}_1^{0}$  with the leafwise reduced cohomology. Furthermore the whole  $(\Omega, d_{0,1})$  can be considered, up to sign, as the leafwise de Rham complex of  $\mathcal{F}$  with coefficients in the vector bundle  $\Lambda(TM/T\mathcal{F})^*$  with the flat  $\mathcal{F}$ -partial Bott connection; i.e. the partial connection induced by the partial Bott connection on the normal bundle [6], [7]. The condition that  $\mathcal{F}$  be Riemannian is equivalent to the existence of a metric on the normal bundle such that the partial Bott connection is Riemannian. Thus, with more generality, we can consider the leafwise de Rham complex  $(\Omega(\mathcal{F}, V), d_{\mathcal{F}})$  with coefficients in any Riemannian vector bundle V with a flat Riemannian  $\mathcal{F}$ -partial connection. The leafwise reduced cohomology with coefficients in V will be denoted by  $\mathcal{H}(\mathcal{F}, V)$ . The operator  $\delta_{\mathcal{F}}$  on  $\Omega(\mathcal{F}, V)$ , defined by the de Rham coderivative on the leaves, is adjoint of  $d_{\mathcal{F}}$ . Let  $D_{\mathcal{F}} = d_{\mathcal{F}} + \delta_{\mathcal{F}}$ and  $\Delta_{\mathcal{F}} = D_{\mathcal{F}}^2$ . Let also  $L^2\Omega(\mathcal{F}, V)$  be the  $L^2$ -completion of  $\Omega(\mathcal{F}, V)$ , and  $\Pi$  the orthogonal projection of  $L^2\Omega(\mathcal{F},V)$  onto the kernel of  $\Delta_{\mathcal{F}}$  in  $L^2\Omega(\mathcal{F},V)$ . The following result easily follows from the case with coefficients in **R** (Section 4).

Corollary 1.3 Let  $\mathcal{F}$  be a Riemannian foliation on a closed manifold M, and let V be any Riemannian vector bundle with a flat Riemannian  $\mathcal{F}$ -partial connection. Fix any Riemannian metric on the leaves, smooth on M. Then  $\Pi$  defines a continuous operator on  $\Omega(\mathcal{F}, V)$ , we have the leafwise Hodge decomposition

$$\Omega(\mathcal{F}, V) = \ker \Delta_{\mathcal{F}} \oplus \overline{\operatorname{im} \Delta_{\mathcal{F}}} = (\ker d_{\mathcal{F}} \cap \ker \delta_{\mathcal{F}}) \oplus \overline{\operatorname{im} d_{\mathcal{F}}} \oplus \overline{\operatorname{im} \delta_{\mathcal{F}}},$$

and  $(t, \alpha) \mapsto e^{-t\Delta_{\mathcal{F}}} \alpha$  defines a continuous map  $[0, \infty] \times \Omega(\mathcal{F}, V) \to \Omega(\mathcal{F}, V)$ . Thus  $\mathcal{H}(\mathcal{F}, V)$  can be canonically identified with  $\ker \Delta_{\mathcal{F}}$ , and, if  $\mathcal{F}$  is oriented, then the leafwise Hodge star operator on  $\ker \Delta_{\mathcal{F}}$  induces an isomorphism  $\mathcal{H}^v(\mathcal{F}, V) \cong \mathcal{H}^{p-v}(\mathcal{F}, V^*)$ .

The case of Corollary 1.3 with coefficients in the normal bundle helps to understand infinitesimal deformations of  $\mathcal{F}$  [14]. Another interesting application is to take coefficients in the symmetric tensor product  $S^2((TM/T\mathcal{F})^*)$ , obtaining in Section 5 the following consequence which solves a problem proposed by E. Macías¹.

Corollary 1.4 Let  $\mathcal{F}$  be a Riemannian foliation on a closed manifold M. Then, with respect to the  $C^{\infty}$  topology, the space of bundle-like metrics on M is a deformation retract of the space of all metrics on M.

<sup>&</sup>lt;sup>1</sup>Problem 4.12 of "Open Problems" in: *Analysis and Geometry in Foliated Manifolds*. X. Masa, E. Macías Virgós and J. A. Álvarez López (Editors). Proceedings of the VII International Colloquium on Differential Geometry, Santiago de Compostela, 26–30 July, 1994. World Scientific, Singapore, 1995.

Corollary 1.3 also has the following consequence which partially generalizes results from [4].

Corollary 1.5 Let  $\mathcal{F}$  be a Riemannian foliation on a closed manifold M, and let V any Riemannian vector bundle with a flat Riemannian  $\mathcal{F}$ -partial connection. For any metric on the leaves, smooth on M, if there is a nontrivial integrable harmonic r-form on some leaf with coefficients in V, then  $\Omega^r(\mathcal{F}, V) \cap \ker \Delta_{\mathcal{F}}$  is of infinite dimension.

As suggested in [4], Corollary 1.5 may be used to find examples of Riemannian foliations on closed Riemannian manifolds with dense leaves and infinite dimensional space of smooth leafwise harmonic forms.

Theorem 1.2 and its proof are also useful to get a better understanding of the term  $E_2$  in the spectral sequence of any Riemannian foliation  $\mathcal{F}$  on a closed manifold M. Let  $\mathcal{H}_1 = \ker \Delta_0$  and  $\widetilde{\mathcal{H}}_1 = \overline{\operatorname{im} \Delta_0}$  in  $\Omega$ , and let  $L^2\mathcal{H}_1$  and  $L^2\widetilde{\mathcal{H}}_1$  be the corresponding closures in  $L^2\Omega$ . Consider the bigrading of  $\mathcal{H}_1$  induced by the one of  $\Omega$ . If  $\mathcal{F}$  is Riemannian and the metric bundle-like, by (3) and Theorem 1.2, the differential map  $d_1$  on  $\mathcal{E}_1$  corresponds to the map  $\Pi d_{1,0}$  on  $\mathcal{H}_1$ , which will be also denoted by  $d_1$ . Hence  $H^u(\mathcal{E}_1^{\cdot,v},d_1)\cong H^u(\mathcal{H}_1^{\cdot,v},d_1)$ . Consider also the following operators on  $\mathcal{H}_1$ :  $\delta_1=\Pi\delta_{-1,0}$ ,  $D_1=d_1+\delta_1$  and  $\Delta_1=D_1^2$ . Such a  $\delta_1$  is adjoint of  $d_1$ , and thus  $D_1$  and  $\Delta_1$  are symmetric in  $L^2\mathcal{H}_1$ .

We also define a differential map  $\tilde{d}_1$  on  $\tilde{\mathcal{H}}_1$  as follows. First we slightly change the bigrading that  $\Omega^{\cdot,\cdot}$  induces on  $\tilde{\mathcal{H}}_1$ : Set

$$\widetilde{\mathcal{H}}_{1}^{u,v} = \overline{d_{0,1}(\Omega^{u,v-1})} \oplus \overline{\delta_{0,-1}(\Omega^{u+1,v})}, \quad u,v \in \mathbf{Z}.$$

Let  $\widetilde{\Pi}_{\cdot,v}$  be the projection of  $\Omega$  onto  $\widetilde{\mathcal{H}}_1^{\cdot,v}$  according to (2), and set  $\widetilde{d}_1 = \widetilde{\Pi}_{\cdot,v}d$  on  $\widetilde{\mathcal{H}}_1^{\cdot,v}$ . We shall see that  $\widetilde{d}_1^2 = 0$  (Section 7); indeed  $H^u(\overline{0}_1^{\cdot,v},d_1) \cong H^u(\widetilde{\mathcal{H}}_1^{\cdot,v},\widetilde{d}_1)$ —see Section 7 for a better understanding of this modified bigrading. Then set  $\widetilde{\delta}_1 = \widetilde{\Pi}_{\cdot,v}\delta$  on  $\widetilde{\mathcal{H}}_1^{\cdot,v}$  for each v, and let  $\widetilde{D}_1 = \widetilde{d}_1 + \widetilde{\delta}_1$  and  $\widetilde{\Delta}_1 = \widetilde{D}_1^2$ . Such  $\widetilde{\delta}_1$  is adjoint of  $\widetilde{d}_1$ , and thus  $\widetilde{D}_1$  and  $\widetilde{\Delta}_1$  are symmetric in  $L^2\widetilde{\mathcal{H}}_1$ . By using Theorem 1.2 and the role played by  $D_\perp$  in its proof, we prove in Section 7 the following result which generalizes the basic Hodge decompositions of [15] and [16].

**Theorem 1.6** Let  $\mathcal{F}$  be a Riemannian foliation on a closed manifold M. For any bundle-like metric on M, the operators  $\Delta_1$  and  $\widetilde{\Delta}_1$  are essentially self-adjoint on  $L^2\mathcal{H}_1$  and  $L^2\widetilde{\mathcal{H}}_1$ , respectively. Moreover  $L^2\mathcal{H}_1$  and  $L^2\widetilde{\mathcal{H}}_1$  have complete orthonormal systems,  $\{\phi_i: i=1,2,\ldots\}\subset\mathcal{H}_1$  and  $\{\widetilde{\phi}_i: i=1,2,\ldots\}\subset\widetilde{\mathcal{H}}_1$ , consisting of eigenvectors of  $\Delta_1$  and  $\widetilde{\Delta}_1$ , respectively, so that the corresponding eigenvalues satisfy  $0 \leq \lambda_1 \leq \lambda_2 \leq \cdots$ ,  $0 < \widetilde{\lambda}_1 \leq \widetilde{\lambda}_2 \leq \cdots$ , with  $\lambda_i \uparrow \infty$  if  $\dim \mathcal{H}_1 = \infty$ , and  $\widetilde{\lambda}_i \uparrow \infty$  if  $\dim \widetilde{\mathcal{H}}_1 = \infty$ ; thus all of these eigenvalues have finite multiplicity. In particular we have

$$\mathcal{H}_1 = \ker \Delta_1 \oplus \operatorname{im} \, \Delta_1 \;, \quad \widetilde{\mathcal{H}}_1 = \operatorname{im} \, \widetilde{\Delta}_1 \;.$$

A direct consequence of Theorem 1.6 is another proof of the following known result, which has important implications about tautness of Riemannian foliations [20], [3].

Corollary 1.7 (X. Masa [20]; see also [2], [1]) Under the same conditions we have  $H(\bar{0}_1) = 0$  and  $E_2 \cong H(\mathcal{E}_1)$ , which is of finite dimension and, if M is orientable, satisfies the duality  $E_2^{u,v} \cong E_2^{q-u,p-v}$ ,  $u,v \in \mathbf{Z}$ , where  $p = \dim \mathcal{F}$  and  $q = \operatorname{codim} \mathcal{F}$ .

The duality in Corollary 1.7 is induced by the Hodge star operator of M acting on ker  $\Delta_1$  for any bundle-like metric.

Observe that Corollary 1.7 is not satisfied by arbitrary foliations on closed manifolds [26]. So, if there is a version of Theorem 1.2 for more general foliations with the same kind of arguments, then  $D_{\perp}$  should be replaced in its proof by other transversely elliptic operator and perhaps more general conditions should be used in Theorem 1.1 (see Remarks 2.10 and 3.5). There are related results for non-Riemannian foliations with very different proofs [19], [17].

In possible generalizations of Theorem 1.2, a key role may be played by the fact that our leafwise elliptic operators are symmetric on M instead of being symmetric on the leaves. For Riemannian foliations and bundle-like metrics both points of view are the same. In general, the Laplacian on the leaves acting on functions induces the physical leafwise heat flow, while  $\Delta_0$  induces a modification of the physical leafwise heat flow by "exterior influence". For non-Riemannian foliations, the physical leafwise heat flow may "break" continuous functions at infinite time, as can be easily seen for foliations on the two dimensional torus with several Reeb components. We hope the modified leafwise heat flow induced by  $\Delta_0$  has a better behavior at infinite time for more general foliations.

We hope Theorems 1.2 and 1.6 will be useful to study relations between spectral sequences of Riemannian foliations and adiabatic limits; precisely, to prove the results in Section 5 of [12] without the strong hypothesis that the positive spectrum of  $\Delta_0$  is bounded away from zero.

We fix the following notation to be used in next sections. The kth Sobolev completion of  $C^{\infty}(E)$ ,  $\Omega$  and  $\Omega(\mathcal{F}, V)$  will be respectively denoted by  $W^k(E)$ ,  $W^k\Omega$  and  $W^k\Omega(\mathcal{F}, V)$ . Fix a norm  $\|\cdot\|_k$  in any of these kth Sobolev spaces, and let  $\|\cdot\|_k$  also denote the corresponding norm of bounded operators on that space. Finally, closure in kth Sobolev spaces will be denoted by  $cl_k$ .

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### 2 The general result

This section is devoted to the proof of Theorem 1.1. To do it, a result of J. Roe in [24] is needed as a first step. We firstly state it in our setting. Let  $\mathcal{A}$  be the Fréchet algebra of those functions f on  $\mathbf{R}$  that extend to entire functions on  $\mathbf{C}$  such that for each compact subset  $K \subset \mathbf{R}$  the functions  $\{x \mapsto f(x+iy) : y \in K\}$  form a bounded subset of the Schwartz space  $\mathcal{S}(\mathbf{R})$ . Such an  $\mathcal{A}$  is a module over the polynomial ring  $\mathbf{C}[z]$ , and contains all functions with compactly supported Fourier transform and the Gaussians  $x \mapsto e^{-tx^2}$ . With the notation of Theorem 1.1, without assuming (1), the same arguments as in Propositions 1.4 and 4.1 in [24] give the following (see also [18] for a discussion of the action of functions of tangentially elliptic operators in Sobolev spaces on the ambient manifold).

**Proposition 2.1 (J. Roe** [24]) The functional calculus map  $f \mapsto f(D)$  given by the spectral theorem restricts to a continuous homomorphism of algebras and  $\mathbb{C}[z]$ -modules from  $\mathcal{A}$  to the space of bounded endomorphisms of each  $W^k(E)$ , and thus to the space of continuous endomorphisms of  $C^{\infty}(E)$ . In particular,  $e^{-t\Delta}$  defines a bounded operator on each  $W^k(E)$  and a continuous operator on  $C^{\infty}(E)$ , which depends smoothly on  $t \in [0, \infty)$ .

Now assume (1) is satisfied. Then Theorem 1.1 clearly follows from the following six properties, which are proved by induction on  $k=0,1,2,\ldots$ :

(i) There exists  $c_{1,k} > 0$  such that the bounded operator  $e^{-t\Delta}$  on  $W^k(E)$ , defined by Proposition 2.1, satisfies

$$||e^{-t\Delta}||_k \le c_{1,k}$$
 for all  $t > 0$ .

(ii)  $De^{-t\Delta}$  defines a bounded operator on  $W^k(E)$  and there exists  $c_{2,k}>0$  such that

$$||De^{-t\Delta}||_k \le \frac{c_{2,k}}{\sqrt{t}}$$
 for all  $t > 0$ .

(iii)  $\Delta e^{-t\Delta}$  defines a bounded operator on  $W^k(E)$  and there exists  $c_{3,k}>0$  such that

$$\|\Delta e^{-t\Delta}\|_k \le \frac{c_{3,k}}{t}$$
 for all  $t > 0$ .

- (iv) The operator  $e^{-t\Delta}$  is strongly convergent in  $W^k(E)$  as  $t \to \infty$ . Moreover  $(t,\phi) \mapsto e^{-t\Delta}\phi$  defines a continuous map  $[0,\infty] \times W^k(E) \to W^k(E)$ .
- (v) We have

$$W^{k}(E) = \ker \left(\Delta \text{ in } W^{k}(E)\right) \oplus \operatorname{cl}_{k}(\operatorname{im} \Delta)$$
$$= \ker \left(D \text{ in } W^{k}(E)\right) \oplus \operatorname{cl}_{k}(\operatorname{im} D) .$$

The corresponding projection of  $W^k(E)$  onto the kernel of  $\Delta$  in  $W^k(E)$  is obviously defined by  $\Pi$ .

(vi) There exists  $c_{k,4} > 0$  such that

$$||d\phi||_k + ||\delta\phi||_k \le c_{k,4} ||D\phi||_k$$

for all  $\phi \in C^{\infty}(E)$ . Thus

$$\ker (D \text{ in } W^k(E)) = \ker (d \text{ in } W^k(E)) \cap \ker (\delta \text{ in } W^k(E)) ,$$
  
$$\operatorname{cl}_k(\operatorname{im} D) = \operatorname{cl}_k(\operatorname{im} d) \oplus \operatorname{cl}_k(\operatorname{im} \delta) .$$

For k = 0, properties (i)–(v) follow directly from the spectral theorem.

Proof of property (vi) for k = 0. Since the image of d is orthogonal to the image of  $\delta$  in  $L^2(E)$ , we get  $||D\phi||_0^2 = ||d\phi||_0^2 + ||\delta\phi||_0^2$  for any  $\phi \in C^{\infty}(E)$ . Thus

$$||d\phi||_0 + ||\delta\phi||_0 \le \sqrt{2} ||D\phi||_0$$
.  $\square$ 

Now suppose properties (i)–(vi) hold for a given k=l and we shall prove them for k=l+1.

The direct sum decompositions in properties (v) and (vi) for k = l define projections P and Q of  $W^l(E)$  onto  $\operatorname{cl}_l(\operatorname{im} d)$  and  $\operatorname{cl}_l(\operatorname{im} \delta)$ , respectively. Thus  $\operatorname{id} = \Pi + P + Q$ .

**Lemma 2.2** There are bounded operators  $B_1, \ldots, B_5$  on  $W^l(E)$  such that

$$Ad \pm dA = B_1d + dB_2 ,$$
  

$$A\delta \pm \delta A = B_1\delta + \delta B_2 ,$$
  

$$[A, \Delta] = B_3\Delta + \Delta B_4 + DB_5D .$$

*Proof.* We clearly have

$$d\Pi = \Pi d = \delta \Pi = \Pi \delta = dP = P\delta = \delta Q = Qd = 0 \ .$$

So

$$d = dQ = DQ = Pd = PD$$
,  $\delta = \delta P = DP = Q\delta = QD$ .

Hence (1) yields the first two equalities in the statement with  $B_1 = GP + KQ$  and  $B_2 = QH + PL$ . Thus  $AD \pm DA = B_1D + DB_2$ , yielding the third equality by using the equation

$$[A, \Delta] = (AD \pm DA)D \mp D(AD \pm DA)$$
.  $\square$ 

**Lemma 2.3** For any bounded operator  $R: W^{l+1}(E) \to W^l(E)$ , we have the following Duhamel type formula:

$$[R, e^{-t\Delta}] = -\int_0^t e^{-(t-s)\Delta} [R, \Delta] e^{-s\Delta} ds$$

as a bounded operator  $W^{l+1}(E) \to W^l(E)$ .

*Proof.* This follows by arguing as in the proof of the usual Duhamel's formula (see Lemma 12.51 in [9]).  $\Box$ 

**Lemma 2.4**  $[A, e^{-t\Delta}]$  defines a bounded operator on  $W^l(E)$ , and there exists  $\tilde{c}_{l,1} > 0$  such that

 $||[A, e^{-t\Delta}]||_l \le \tilde{c}_{l,1}$  for all t > 0.

*Proof.* By Lemmas 2.2 and 2.3 we have  $[A, e^{-t\Delta}] = I_1 + I_2$ , where

$$I_{1} = -\int_{0}^{t} e^{(t-s)\Delta} B_{3}\Delta e^{-s\Delta} ds - \int_{0}^{t} e^{(t-s)\Delta} \Delta B_{4} e^{-s\Delta} ds ,$$

$$I_{2} = -\int_{0}^{t} e^{(t-s)\Delta} DB_{5}D e^{-s\Delta} ds .$$

On the one hand, property (ii) for k = l yields

$$||I_2||_l \le c_{l,2}^2 \int_0^t \frac{ds}{\sqrt{(t-s)s}}$$
,

which is bounded independently of t since this integral is easily seen to be  $\pi$ . On the other hand,

$$I_{1} = e^{-t\Delta/2}(B_{3} - B_{4}) e^{-t\Delta/2} + e^{-t\Delta} B_{3} + B_{4} e^{-t\Delta}$$

$$- \int_{0}^{t/2} e^{-(t-s)\Delta} \Delta(B_{3} + B_{4}) e^{-s\Delta} ds$$

$$- \int_{t/2}^{t} e^{-(t-s)\Delta} (B_{3} - B_{4}) \Delta e^{-s\Delta} ds ,$$

where we have decomposed the integrals in the definition of  $I_1$  as sum of integrals on the intervals [0, 1/2] and [1/2, 1], and we have used integration by parts with the equalities

$$\Delta e^{-s\Delta} = -\frac{\partial}{\partial s} e^{-s\Delta} , \quad \Delta e^{-(t-s)\Delta} = \frac{\partial}{\partial s} e^{-(t-s)\Delta} .$$

Hence, by properties (i) and (iii) for k = l we get

$$||I_1||_l \leq c_{l,1}^2 ||B_3 - B_4||_l + c_{l,1} (||B_3||_l + ||B_4||_l) + c_{l,1} c_{l,3} \left( ||B_3 + B_4||_l \int_0^{t/2} \frac{ds}{t - s} + ||B_3 - B_4||_l \int_{t/2}^t \frac{ds}{s} \right) ,$$

which is bounded independently of t since both of these integrals are equal to  $\ln 2$ .  $\square$ 

**Lemma 2.5**  $AD e^{-t\Delta} \pm D e^{-t\Delta} A$  defines a bounded operator on  $W^l(E)$  and there exists  $\tilde{c}_{l,2} > 0$  such that

$$\|AD e^{-t\Delta} \pm D e^{-t\Delta} A\|_{l} \le \frac{\tilde{c}_{l,2}}{\sqrt{t}} \quad for \ all \quad t > 0.$$

*Proof.* By Lemma 2.2 we have

$$AD \pm DA = B_1D + DB_2$$
,

yielding

$$\begin{split} AD\,e^{-t\Delta} &\pm D\,e^{-t\Delta}\,A \\ &= A\,e^{-t\Delta/2}\,D\,e^{-t\Delta/2} \pm e^{-t\Delta/2}\,D\,e^{-t\Delta/2}\,A \\ &= \left[A,e^{-t\Delta/2}\right]\,D\,e^{-t\Delta/2} \mp e^{-t\Delta/2}\,D\,\left[A,e^{-t\Delta/2}\right] \\ &+ e^{-t\Delta/2}\left(AD\pm DA\right)e^{-t\Delta/2} \\ &= \left[A,e^{-t\Delta/2}\right]\,D\,e^{-t\Delta/2} \mp e^{-t\Delta/2}\,D\,\left[A,e^{-t\Delta/2}\right] \\ &+ e^{-t\Delta/2}\,B_1D\,e^{-t\Delta/2} + e^{-t\Delta/2}\,DB_2\,e^{-t\Delta/2} \,. \end{split}$$

Thus the result follows by Lemma 2.4 and properties (i) and (ii) for k = l.  $\square$ 

Since D is a leafwise elliptic operator of order one and A is transversely elliptic of order one, there exist  $e_{l,1}, e_{l,2} > 0$  such that

$$e_{l,1} \|\phi\|_{l+1} \le \|\phi\|_l + \|D\phi\|_l + \|A\phi\|_l \le e_{l,2} \|\phi\|_{l+1}$$
 (4)

for all  $\phi \in W^{l+1}(E)$ .

Proof of property (i) for k = l + 1. This property follows from (4) since, for  $\phi \in W^{l+1}(E)$ , we have the following:

$$\begin{aligned} \left\| e^{-t\Delta} \phi \right\|_{l} &\leq c_{l,1} \, \|\phi\|_{l} \leq c_{l,1} e_{l,2} \, \|\phi\|_{l+1} \,, \\ \left\| D \, e^{-t\Delta} \phi \right\|_{l} &= \left\| e^{-t\Delta} \, D \phi \right\|_{l} \leq c_{l,1} \, \|D \phi\|_{l} \leq c_{l,1} e_{l,2} \, \|\phi\|_{l+1} \,, \\ \left\| A \, e^{-t\Delta} \phi \right\|_{l} &\leq \left\| \left[ A, e^{-t\Delta} \right] \phi \right\|_{l} + \left\| e^{-t\Delta} \, A \phi \right\|_{l} \\ &\leq \tilde{c}_{l,1} \, \|\phi\|_{l} + c_{l,1} \, \|A \phi\|_{l} \\ &\leq (\tilde{c}_{l,1} + c_{l,1}) e_{l,2} \, \|\phi\|_{l+1} \,, \end{aligned}$$

where we have used property (i) for k = l, Lemma 2.4 and (4).  $\square$ 

Proof of property (ii) for k = l + 1. Again this property follows from (4) since, for  $\phi \in W^{l+1}(E)$  we have the following:

$$\|De^{-t\Delta}\phi\|_{l} \leq \frac{c_{l,2}}{\sqrt{t}} \|\phi\|_{l} \leq \frac{c_{l,2}e_{l,2}}{\sqrt{t}} \|\phi\|_{l+1}$$

$$\|\Delta e^{-t\Delta}\phi\|_{l} = \|D e^{-t\Delta} D\phi\|_{l} \le \frac{c_{l,2}}{\sqrt{t}} \|D\phi\|_{l} \le \frac{c_{l,2}e_{l,2}}{\sqrt{t}} \|\phi\|_{l+1}$$

$$\begin{aligned} \left\| AD \, e^{-t\Delta} \phi \right\|_{l} & \leq & \left\| \left( AD \, e^{-t\Delta} \pm D \, e^{-t\Delta} \, A \right) \phi \right\|_{l} + \left\| D \, e^{-t\Delta} \, A \phi \right\|_{l} \\ & \leq & \frac{\tilde{c}_{l,2}}{\sqrt{t}} \, \|\phi\|_{l} + \frac{c_{l,2}}{\sqrt{t}} \, \|A\phi\|_{l} \\ & \leq & \frac{\left( \tilde{c}_{l,2} + c_{l,2} \right) e_{l,2}}{\sqrt{t}} \, \|\phi\|_{l+1} \; , \end{aligned}$$

where we have used property (ii) for k = l, Lemma 2.5 and (4).  $\square$ 

Proof of property (iii) for k = l + 1. This follows directly from property (ii) for k = l + 1 since  $\Delta e^{-t\Delta} = D e^{-t\Delta/2} D e^{-t\Delta/2}$  on  $C^{\infty}(E)$ .  $\square$ 

Let  $\widetilde{\Pi} = \mathrm{id} - \Pi$ . Set  $B = B_4\Pi - B_3\widetilde{\Pi}$ , which is a bounded operator on  $W^l(E)$ . For further reference, we point out the following estimates which are similar to (4): There exist  $e'_{l,1}, e'_{l,2}, e''_{l,1}, e''_{l,2} > 0$  such that

$$e'_{l,1} \|\phi\|_{l+1} \le \|\phi\|_l + \|D\phi\|_l + \|(A+B)\phi\|_l \le e'_{l,2} \|\phi\|_{l+1}$$
, (5)

$$e_{l,1}'' \|\phi\|_{l+1} \le \|\phi\|_l + \|D\phi\|_l + \|(A - B_1)\phi\|_l \le e_{l,2}'' \|\phi\|_{l+1}$$
 (6)

**Lemma 2.6** The operator  $[A+B,e^{-t\Delta}]$  strongly converges to the zero operator on  $W^l(E)$  as  $t\to\infty$ . Moreover  $(t,\phi)\mapsto [A+B,e^{-t\Delta}]$   $\phi$  extends to a continuous map  $[0,\infty]\times W^l(E)\to W^l(E)$  vanishing on  $\{\infty\}\times W^l(E)$ .

*Proof.* Take any a>1 that will be fixed later. Let us write  $\left[A+B,e^{-t\Delta}\right]=I_1+I_2$ , where

$$I_1 = \left[ A + B, e^{-(t-t/a)\Delta} \right] e^{-t\Delta/a} ,$$

$$I_2 = e^{-(t-t/a)\Delta} \left[ A + B, e^{-t\Delta/a} \right] .$$

By Lemma 2.2 and since  $\Delta\Pi = 0$ , we easily get

$$[A+B,\Delta] = (\Delta(B_3+B_4) + DB_5D)\widetilde{\Pi}.$$
 (7)

Hence, by Lemma 2.3 we have  $I_2 = I_{2,1} + I_{2,2}$ , where

$$I_{2,1} = -\int_0^{t/a} e^{-(t-s)\Delta} \, \Delta(B_3 + B_4) \, e^{-s\Delta} \, \widetilde{\Pi} \, ds \,,$$

$$I_{2,2} = -\int_0^{t/a} e^{-(t-s)\Delta} \, DB_5 D \, e^{-s\Delta} \, \widetilde{\Pi} \, ds \,.$$

Properties (i), (iii) and (vi) for k = l yield the following estimate for  $\phi \in W^l(E)$ :

$$||I_{2,1}\phi||_{l} \leq c_{l,1}c_{l,3}||B_{3} + B_{4}||_{l} ||\widetilde{\Pi}\phi||_{l} \int_{0}^{t/a} \frac{ds}{t-s}$$
  
$$\leq c_{l,1}c_{l,3}||B_{3} + B_{4}||_{l} ||\widetilde{\Pi}\phi||_{l} \ln \frac{a}{a-1}.$$

Similarly, properties (i), (ii) and (vi) for k = l yield the following estimate for  $\psi \in W^l(E)$ :

$$||I_{2,2}\phi||_{l} \leq c_{l,2}^{2} ||\widetilde{\Pi}\phi||_{l} \int_{0}^{t/a} \frac{ds}{\sqrt{(t-s)s}}$$

$$\leq c_{l,2}^{2} ||\widetilde{\Pi}\phi||_{l} \left(\pi + \arctan\frac{2-a}{a}\right) ,$$

Therefore  $I_2$  defines a bounded operator on  $W^l(E)$  whose norm can be made arbitrarily small uniformly on t by taking a large enough.

To study  $I_1$  we shall use the following:

$$\left[A+B,e^{-s\Delta}\right]\Pi=0\quad\text{for all}\quad s\geq0\;. \tag{8}$$

This equation holds because it is obvious for s=0 and moreover

$$\frac{d}{ds} \left( \left[ A + B, e^{-s\Delta} \right] \Pi \right) = - \left[ A + B, e^{-s\Delta} \Delta \right] \Pi$$
$$= - \left[ A + B, e^{-s\Delta} \right] \Delta \Pi - e^{-s\Delta} [A + B, \Delta] \Pi ,$$

which vanishes since  $\Delta\Pi = 0$  and by (7). Now (8) yields

$$I_1 = \left[ A + B, e^{-(t-t/a)\Delta} \right] \widetilde{\Pi} e^{-t\Delta/a}$$
$$= \left[ A + B, e^{-(t-t/a)\Delta} \right] \left( e^{-t\Delta/a} - \Pi \right) .$$

Furthermore, by Lemma 2.4 and property (i) for k=l,  $\left[A+B,e^{-(t-t/a)\Delta}\right]$  defines a bounded operator on  $W^l(E)$  whose norm is uniformly bounded on t. Therefore, by property (iv) for k=l,  $I_1$  strongly converges to zero in  $W^l(E)$  as  $t\to\infty$  for any a>1, and  $(t,\phi)\mapsto I_1\phi$  extends to a continuous map  $[0,\infty]\times W^l(E)\to W^l(E)$  vanishing on  $\{\infty\}\times W^l(E)$ .  $\square$ 

*Proof of property* (iv) for k = l + 1. Consider the following bounded compositions:

$$W^{l+1}(E) \xrightarrow{e^{-t\Delta}} W^{l+1}(E) \hookrightarrow W^{l}(E)$$
, (9)

$$W^{l+1}(E) \xrightarrow{e^{-t\Delta}} W^{l+1}(E) \xrightarrow{D} W^{l}(E)$$
, (10)

$$W^{l+1}(E) \xrightarrow{e^{-t\Delta}} W^{l+1}(E) \xrightarrow{A+B} W^{l}(E) . \tag{11}$$

By (5), it is enough to prove that the compositions (9), (10) and (11) are strongly convergent as  $t \to \infty$ , and to prove the continuous extension to  $[0, \infty] \times W^{l+1}(E)$  of the maps  $[0, \infty) \times W^{l+1}(E) \to W^l(E)$  defined by these time dependent operators. This holds for (9) and (10) by property (iv) for k = l since these operators are respectively equal to the compositions

$$W^{l+1}(E) \hookrightarrow W^{l}(E) \xrightarrow{e^{-t\Delta}} W^{l}(E) ,$$

$$W^{l+1}(E) \xrightarrow{D} W^{l}(E) \xrightarrow{e^{-t\Delta}} W^{l}(E) .$$

On the other hand, (11) is the sum of the bounded compositions

$$W^{l+1}(E) \hookrightarrow W^l(E) \xrightarrow{\left[A+B, e^{-t\Delta}\right]} W^l(E) , \qquad (12)$$

$$W^{l+1}(E) \xrightarrow{A+B} W^l(E) \xrightarrow{e^{-t\Delta}} W^l(E)$$
 (13)

Now Lemma 2.6 and property (iv) for k=l respectively imply the strong convergence of (12) and (13) as  $t \to \infty$ , as well as the continuous extension to  $[0,\infty] \times W^{l+1}(E)$  of the maps  $[0,\infty) \times W^{l+1}(E) \to W^l(E)$  defined by both of these time dependent operators.  $\square$ 

Corollary 2.7  $\Pi$  defines a bounded operator on  $W^{l+1}(E)$ .

*Proof.* This result is a direct consequence of properties (i) and (iv) for k = l + 1—observe that, for each  $\phi \in W^{l+1}(E)$ , the limit of  $e^{-t\Delta}\phi$  in  $W^{l+1}(E)$  as  $t \to \infty$  can only be  $\Pi \phi$  since it is so in  $L^2(E)$ .  $\square$ 

Corollary 2.8 
$$[A + B, \Pi] = 0 : W^{l+1}(E) \to W^{l}(E)$$
.

*Proof.* By Lemma 2.6,  $[A+B,e^{-t\Delta}]$  strongly converges to zero on  $W^l(E)$  as  $t\to\infty$ . Hence the result follows because, as  $t\to\infty$  and for each  $\phi\in W^{l+1}(E)$ ,  $(A+B)e^{-t\Delta}\phi$  and  $e^{-t\Delta}(A+B)\phi$  converge in  $W^l(E)$  to  $(A+B)\Pi\phi$  and  $\Pi(A+B)\phi$ , respectively, by property (iv) for k=l+1,l.  $\square$ 

Proof of property (v) for k = l + 1. By Corollary 2.7 and property (v) for k = l,  $\Pi$  defines a projection of  $W^{l+1}(E)$  onto the kernel of  $\Delta$  in  $W^{l+1}(E)$ . Now, for t > 0 and  $s \in \mathbf{R}$  let

$$f_t(s) = \begin{cases} (1 - e^{-ts^2})/s^2 & \text{if } s \neq 0 \\ t & \text{if } s = 0. \end{cases}$$

It is easy to check that  $f_t$  is in the algebra  $\mathcal{A}$  of Proposition 2.1. Thus  $f_t(D)$  defines a bounded operator on  $W^{l+1}(E)$  satisfying

$$id - e^{-t\Delta} = \Delta f_t(D) .$$

So property (iv) for k = l + 1 yields that, for any  $\phi \in W^{l+1}(E)$ ,  $\widetilde{\Pi}\phi$  is the limit of  $\Delta f_t(D)\phi$  in  $W^{l+1}(E)$  as  $t \to \infty$ . Hence

$$\widetilde{\Pi}(W^{l+1}(E)) \subset \operatorname{cl}_{l+1}(\operatorname{im} \Delta)$$
.

This is really an equality because the reverse inclusion can be easily proved as follows:

$$\operatorname{cl}_{l+1}(\operatorname{im} \Delta) \subset W^{l+1}(E) \cap \operatorname{cl}_0(\operatorname{im} \Delta)$$

$$= W^{l+1}(E) \cap \widetilde{\Pi} \left( L^2(E) \right)$$

$$= \widetilde{\Pi} \left( W^{l+1}(E) \right) .$$

Here we have used property (v) for k = 0 and the fact that  $\widetilde{\Pi}$  is a projection. Therefore we have proved the first direct sum decomposition in property (v) for k = l + 1.

The second direct sum decomposition follows similarly by using the functions given by

$$g_t(s) = \begin{cases} (1 - e^{-ts^2})/s & \text{if } s \neq 0 \\ t & \text{if } s = 0 \end{cases}$$

instead of the  $f_t$ .  $\square$ 

Proof of property (vi) for k = l + 1. Take any  $\phi \in C^{\infty}(E)$ . Lemma 2.2, property (vi) for k = l and (6) yield

$$\begin{aligned} \|d\phi\|_{l+1} + \|\delta\phi\|_{l+1} & \leq & \frac{1}{e_{l,1}''} (\|d\phi\|_l + \|\delta\phi\|_l + \|Dd\phi\|_l + \|D\delta\phi\|_l \\ & + \|(A - B_1)d\phi\|_l + \|(A - B_1)\delta\phi\|_l) \\ & \leq & \frac{1}{e_{l,1}''} (\|d\phi\|_l + \|\delta\phi\|_l + \|dD\phi\|_l + \|\delta D\phi\|_l \\ & + \|d(\mp A + B_2)\phi\|_l + \|\delta(\mp A + B_2)\phi\|_l) \\ & \leq & \frac{c_{l,4}}{e_{l,1}''} (\|D\phi\|_l + \|\Delta\phi\|_l + \|D(\mp A + B_2)\phi\|_l) \\ & \leq & \frac{c_{l,4}}{e_{l,1}''} (\|D\phi\|_l + \|\Delta\phi\|_l + \|(A - B_1)D\phi\|_l) \\ & \leq & \frac{c_{l,4}e_{l,2}''}{e_{l,1}''} \|D\phi\|_{l+1} . \quad \Box \end{aligned}$$

**Remark 2.9** If A is symmetric in Theorem 1.1, then one of the two equations in (1) can be removed in its statement because both of them are equivalent by taking adjoints on M.

**Remark 2.10** The following more general condition can be used instead of (1) in Theorem 1.1 to get the same long time behavior of leafwise heat flow with a similar proof: There is some first order transversely elliptic differential operator A and zero order operators  $K_1, \ldots, K_{16}$  such that the operators

$$F_{1} = Ad \pm dA - K_{1}d - dK_{2} - K_{3}\delta - \delta K_{4} ,$$

$$F_{2} = A\delta \pm \delta A - K_{5}\delta - \delta K_{6} - K_{7}d - dK_{8}$$

satisfy

$$F_1 \delta \mp \delta F_1 + F_2 d \mp dF_2 = dK_9 d + \delta K_{10} d + dK_{11} \delta + \delta K_{12} \delta + d\delta K_{13} + \delta dK_{14} + K_{15} d\delta + K_{16} \delta d.$$

Nevertheless, so far we did not find any non-Riemannian foliation with a (non-elliptic) leafwise elliptic differential complex satisfying such a more general condition.

Corollary 2.8 yields  $[A,\Pi] = -[B,\Pi]$  on each  $W^k(E)$ , obtaining the following consequence that will be used later.

Corollary 2.11  $[A,\Pi]$  defines a bounded operator on each  $W^k(E)$ , and thus a continuous operator on  $C^{\infty}(E)$ .

## 3 Case of the leafwise de Rham complex for Riemannian foliations

With the notation introduced in Section 1, the objective of this section is to prove the following result, which implies Theorem 1.2 by using Theorem 1.1 and Remark 2.9.

**Proposition 3.1** If  $\mathcal{F}$  is a Riemannian foliation and M is endowed with a bundle-like metric, there is a zero order differential operator K on  $\Omega$  such that

$$D_{\perp}\delta_{0,-1} + \delta_{0,-1}D_{\perp} = K\delta_{0,-1} + \delta_{0,-1}K$$
.

To prove Proposition 3.1, choose any open subset  $U \subset M$  of triviality for  $\mathcal{F}$ . Let  $n = \dim M$ ,  $p = \dim \mathcal{F}$  and  $q = \operatorname{codim} \mathcal{F}$ . Fix tangential and transverse orientations for  $\mathcal{F}$  in U, obtaining the Hodge star operators  $\star_{\mathcal{F}}$  and  $\star_{\perp}$  on the restrictions of  $\bigwedge T\mathcal{F}^*$  and  $\bigwedge T\mathcal{F}^{\perp *}$  to U, respectively. Moreover we get an induced orientation of U so that  $\star_{\perp}(1) \wedge \star_{\mathcal{F}}(1)$  is a positive volume form. The following lemma can be easily proved (the statement of Lemma 4.8 in [5] is similar).

**Lemma 3.2** Over U, the Hodge star operator on  $\bigwedge TM^* \equiv \bigwedge T\mathcal{F}^{\perp *} \otimes \bigwedge T\mathcal{F}^*$  is given by

$$\star = (-1)^{(q-u)v} \star_{\perp} \otimes \star_{\mathcal{F}} : \bigwedge^{u} T\mathcal{F}^{\perp *} \otimes \bigwedge^{v} T\mathcal{F}^{*} \longrightarrow \bigwedge^{q-u} T\mathcal{F}^{\perp *} \otimes \bigwedge^{p-v} T\mathcal{F}^{*} .$$

Let  $\mathcal{X}(\mathcal{F}_U) \subset \mathcal{X}(U)$  be the Lie subalgebra of vector fields which are tangent to the leaves of  $\mathcal{F}_U = \mathcal{F}|_U$ , and let  $\mathcal{X}(U, \mathcal{F}_U) \subset \mathcal{X}(U)$  be its normalizer—the Lie algebra of infinitesimal transformations of  $\mathcal{F}_U$ . Let also  $\Omega\left(U/\mathcal{F}_U\right) \subset \Omega^{,0}(U)$  denote the basic complex of  $\mathcal{F}_U$ , and  $C^{\infty}\left(U/\mathcal{F}_U\right) = \Omega^0\left(U/\mathcal{F}_U\right)$ . For  $X \in \mathcal{X}(U)$  let  $\mathcal{L}_X$  denote the corresponding Lie derivative on  $\Omega(U)$  and  $\theta_X$  its bihomogeneous (0,0)-component. By comparing bidegrees on Cartan's formula we get that, if X is orthogonal to  $\mathcal{F}$ , then

$$\theta_X = i_X d_{1,0}$$
 on  $\Omega^{0,\cdot}(U)$ ,

yielding

$$\theta_{fX} = f\theta_X$$
 on  $\Omega^{0,\cdot}(U)$  for all  $f \in C^{\infty}(M)$ 

and

$$d_{1,0}\beta = \sum_{i=1}^{q} \alpha_i \wedge \theta_{X_i}\beta$$
 for all  $\beta \in \Omega^{0,\cdot}(U)$ ,

where  $X_1, \ldots, X_q$  is any frame of  $T\mathcal{F}^{\perp}$  on U with dual coframe  $\alpha_1, \ldots, \alpha_q$  in  $\Omega^{1,0}(U)$ . Furthermore, if  $X \in \mathcal{X}(U, \mathcal{F}_U)$  is orthogonal to the leaves and  $Y \in \mathcal{X}(\mathcal{F}_U)$ , then

$$i_Y \mathcal{L}_X = \mathcal{L}_X i_Y - i_{[X,Y]} = 0$$
 on  $\Omega^{\cdot,0}(U)$ ,

yielding that the (-1,1)-bihomogeneous component of  $\mathcal{L}_X$  vanishes on  $\Omega^{\cdot,0}(U)$ , and thus on  $\Omega(U)$ . Therefore

$$\theta_X d_{0,1} = d_{0,1} \theta_X$$
 on  $\Omega(U)$ 

by comparing bidegrees on the formula  $\mathcal{L}_X d = d\mathcal{L}_X$ .

As was pointed out in Section 1, the restriction of  $\delta_{0,-1}$  to  $\Omega^{0,\cdot} \equiv \Omega(\mathcal{F})$  is defined by the de Rham coderivative on the leaves. This holds whenever the transverse Riemannian volume element is holonomy invariant, and in particular when the metric is bundle-like: On U,  $\omega = \star_{\perp}(1)$  satisfies  $d\omega = 0$ , and thus, by Lemma 3.2, for  $\beta \in \Omega^{0,v}(U)$  we have

$$\begin{split} \delta_{0,-1}\beta &= (-1)^{nv+n+1} \star d_{0,1} \star \beta \\ &= (-1)^{pv+n+1} \star d_{0,1}(\omega \wedge \star_{\mathcal{F}}\beta) \\ &= (-1)^{pv+p+1} \star (\omega \wedge d_{0,1} \star_{\mathcal{F}}\beta) \\ &= (-1)^{pv+p+1} \star_{\mathcal{F}} d_{0,1} \star_{\mathcal{F}}\beta \;. \end{split}$$

**Lemma 3.3** If  $X \in \mathcal{X}(U, \mathcal{F}_U)$  is orthogonal to the leaves, then there is a zero order differential operator  $R_{U,X}$  on  $\Omega^{0,\cdot}(U)$ , depending  $C^{\infty}(M/\mathcal{F}_U)$ -linearly on X, such that

$$[\theta_X, \delta_{0,-1}] = [R_{U,X}, \delta_{0,-1}]$$
 on  $\Omega^{0,\cdot}$ .

Moreover the assignment  $(U,X) \mapsto R_{U,X}$  can be chosen so that the restriction of  $R_{U,X}$  to any subset  $U' \subset U$  of triviality for  $\mathcal{F}$  is equal to  $R_{U',X'}$ , where  $X' = X|_{U'}$ .

*Proof.* On  $\Omega^{0,v}(U)$ , since  $\star_{\mathcal{F}}^2 = (-1)^{(p-v)v}$ id we get

$$\begin{split} [\theta_X, \delta_{0,-1}] &= (-1)^{pv+p+1} [\theta_X, \star_{\mathcal{F}} d_{0,1} \star_{\mathcal{F}}] \\ &= (-1)^{pv+p+1} \left( [\theta_X, \star_{\mathcal{F}}] d_{0,1} \star_{\mathcal{F}} + \star_{\mathcal{F}} d_{0,1} [\theta_X, \star_{\mathcal{F}}] \right) \\ &= (-1)^{(p-v+1)(v-1)} [\theta_X, \star_{\mathcal{F}}] \star_{\mathcal{F}} \delta_{0,-1} \\ &+ (-1)^{(p-v)v} \delta_{0,-1} \star_{\mathcal{F}} [\theta_X, \star_{\mathcal{F}}] \;. \end{split}$$

Hence the result follows by choosing

$$R_{U,X} = (-1)^{(p-v)v} [\theta_X, \star_{\mathcal{F}}] \star_{\mathcal{F}}$$

because

$$0 = [\theta_X, \star_{\mathcal{F}}^2] = [\theta_X, \star_{\mathcal{F}}] \star_{\mathcal{F}} + \star_{\mathcal{F}} [\theta_X, \star_{\mathcal{F}}] . \quad \Box$$

Obviously,  $d_{0,1}$  and  $\delta_{0,-1}$  are  $C^{\infty}(U/\mathcal{F}_U)$ -linear on  $\Omega(U)$ . Indeed we have the following.

#### Lemma 3.4 We have

$$d_{0,1} \equiv (-1)^u \operatorname{id} \otimes d_{0,1}, \quad \delta_{0,-1} \equiv (-1)^u \operatorname{id} \otimes \delta_{0,-1}$$

with respect to the canonical decomposition

$$\Omega^{u,\cdot}(U) \equiv \Omega^u(U/\mathcal{F}_U) \otimes \Omega^{0,\cdot}(U)$$

as tensor product of  $C^{\infty}(U/\mathcal{F}_U)$ -modules.

*Proof.* The first identity is clear because  $d_{0,1}$  vanishes on basic forms. The second identity holds because the metric is bundle-like on U: This is equivalent to

$$\star_{\perp} (\Omega(U/\mathcal{F}_U)) \subset \Omega(U/\mathcal{F}_U)$$
,

and thus, by Lemma 3.2, for  $\alpha \in \Omega^u(U/\mathcal{F}_U)$ ,  $\beta \in \Omega^{0,v}(U)$  and r = u + v, we have

$$\delta_{0,-1}(\alpha \wedge \beta) = (-1)^{nr+n+1} \star d_{0,1} \star (\alpha \wedge \beta)$$

$$= (-1)^{nr+n+1+(q-u)v} \star d_{0,1}(\star_{\perp}\alpha \wedge \star_{\mathcal{F}}\beta)$$

$$= (-1)^{nr+n+1+(q-u)(v+1)} \star (\star_{\perp}\alpha \wedge d_{0,1} \star_{\mathcal{F}}\beta)$$

$$= (-1)^{u} \alpha \wedge \delta_{0,-1}\beta. \quad \Box$$

The proof of Proposition 3.1 can be completed as follows. Let  $X_1, \ldots, X_q \in \mathcal{X}(U, \mathcal{F}_U)$  be a frame of  $T\mathcal{F}^{\perp}$  on U, and let  $\alpha_1, \ldots, \alpha_q \in \Omega^1(U/\mathcal{F}_U)$  be the dual coframe. Take any  $\alpha \in \Omega^u(U/\mathcal{F}_U)$  and any  $\beta \in \Omega^{0,\cdot}(U)$ . Then Lemmas 3.3 and 3.4 yield

$$(d_{1,0}\delta_{0,-1} + \delta_{0,-1}d_{1,0})(\alpha \wedge \beta) = (-1)^{u} d_{1,0}\alpha \wedge \delta_{0,-1}\beta + \alpha \wedge d_{1,0}\delta_{0,-1}\beta + (-1)^{u+1} d_{1,0}\alpha \wedge \delta_{0,-1}\beta - \alpha \wedge \delta_{0,-1}d_{1,0}\beta$$

$$= \sum_{i=1}^{q} \alpha \wedge \alpha_{i} \wedge [\theta_{X_{i}}, \delta_{0,-1}]\beta$$

$$= \sum_{i=1}^{q} \alpha \wedge \alpha_{i} \wedge [R_{U,X_{i}}, \delta_{0,-1}]\beta$$

$$= (K_{U}\delta_{0,-1} + \delta_{0,-1}K_{U})(\alpha \wedge \beta),$$

where, for  $\alpha$  and  $\beta$  as above,

$$K_U(\alpha \wedge \beta) = (-1)^u \sum_{i=1}^q \alpha \wedge \alpha_i \wedge R_{U,X_i}\beta$$
.

By the properties of the  $R_{U,X_i}$ , it is clear that  $K_U$  is independent of the choices of the  $X_i$ , and moreover the restriction of  $K_U$  to any open subset  $U' \subset U$  of triviality for  $\mathcal{F}$  is equal to  $K_{U'}$ . Thus the  $K_U$  defines a global operator K on  $\Omega$  satisfying

$$d_{1,0}\delta_{0,-1} + \delta_{0,-1}d_{1,0} = K\delta_{0,-1} + \delta_{0,-1}K,$$

which finishes the proof of Proposition 3.1 since (see e.g. [2])

$$d_{1,0}d_{0,1} + d_{0,1}d_{1,0} = 0$$
.

**Remark 3.5** Proposition 3.1 is slightly stronger than (1) in Theorem 1.1. In this case K = L, and thus

$$D_{\perp}D_0 + D_0D_{\perp} = B_1D_0 + D_0B_2$$

with

$$B_1 = K^*P + KQ$$
,  $B_2 = QK^* + PK$ 

in Lemma 2.2. In particular  $B_2 = B_1^*$ . Observe that, if K is symmetric, then so is  $B_1$ , yielding that  $D_{\perp} - B_1$  commutes with  $\Delta_0$  and thus with  $e^{-t\Delta_0}$ . Therefore the proof of Theorem 1.2 would follow with a much simpler induction argument. Of course K is not symmetric in general.

**Remark 3.6** The above proof of Proposition 3.1 gives explicit local descriptions of K and L. But an alternative proof can be made by using Molino's description of Riemannian foliations: It allows to reduce the proof to the case of transversely parallelizable foliations, where our local arguments can be made globally.

**Remark 3.7** In the setting of this section, Corollary 2.11 states that  $[D_{\perp}, \Pi]$  defines a bounded operator on each  $W^k\Omega$ .

# 4 Case of leafwise differential forms with appropriate coefficients

Corollary 1.3 is proved in this section. Let thus  $\mathcal{F}$ , M and V be as in the statement of that result. Since any metric on the leaves, smooth on M, can be extended to a bundle-like metric on M, then Corollary 1.3 follows directly from Theorem 1.2 when V is any trivial Riemannian vector bundle with the trivial  $\mathcal{F}$ -partial connection.

In the general case we follow Molino's idea to describe Riemannian foliations [21], [22]. Let  $\pi: F \to M$  be the principal O(k)-bundle of orthonormal frames of V, where k is the rank of V; observe that such an F is a closed manifold. The metric  $\mathcal{F}$ -partial connection on V can be understood as an O(k)-invariant vector subbundle  $H \subset TF$  so that  $\pi_*: H_f \to T_{\pi(f)}\mathcal{F}$  is an isomorphism for every frame  $f \in F$ . Moreover the flatness of the connection means that H defines a completely integrable distribution, and let thus  $\widehat{\mathcal{F}}$  be the corresponding foliation on F. It is clear that  $\widehat{\mathcal{F}}$  is also a Riemannian foliation,  $\pi^*V$  has a canonical trivialization as Riemannian vector bundle, the pull-back of the partial connection on V is the trivial  $\widehat{\mathcal{F}}$ -partial connection on  $\pi^*V$ , and  $\pi^*$  defines an injection  $\Omega(\mathcal{F},V) \hookrightarrow \Omega(\widehat{\mathcal{F}},\pi^*V)$ . Moreover it is easy to check that, for the lift of any given metric on the leaves of  $\mathcal{F}$  to the leaves of  $\widehat{\mathcal{F}}$ ,  $\Delta_{\mathcal{F}}$  is the restriction of  $\Delta_{\widehat{\mathcal{F}}}$  by the above injection. Therefore Corollary 1.3 for  $\mathcal{F}$  and V follows from the case of  $\widehat{\mathcal{F}}$  and  $\pi^*V$ .

### 5 The space of bundle-like metrics

We prove Corollary 1.4 in this section. First we recall some technicalities from [25]. For a given foliation  $\mathcal{F}$  on a manifold M, let  $\nu = TM/T\mathcal{F}$ ,  $Q = S^2(\nu^*)$ , and  $Q^+ \subset Q$  the subbundle given by the positive definite elements in Q. Hence  $C^{\infty}(Q^+)$  is the space of metrics on the normal bundle  $\nu$ . Such metrics are the key point to prove Corollary 1.4 because any metric g on M is uniquely determined by fixing three objects: A metric  $g_{\mathcal{F}}$  on  $T\mathcal{F}$ , a subbundle  $N \subset TM$  which is complementary of  $T\mathcal{F}$ , and a metric  $g_{\nu}$  on  $\nu$ . In fact,  $g_{\nu}$  determines a metric  $g_N$  on N by the canonical isomorphism  $N \cong \nu$ , and g is determined as the orthogonal sum of  $g_{\mathcal{F}}$  and  $g_N$ . Conversely, g determines  $g_{\mathcal{F}} = g|_{T\mathcal{F}}$ ,  $N = T\mathcal{F}^{\perp}$  and  $g_{\nu}$  as the only metric that corresponds to  $g|_N$  by the above isomorphism. According to this notation, the metric g is bundle-like if and only if the corresponding metric  $g_{\nu}$  is parallel with respect to the  $\mathcal{F}$ -partial Bott connection on  $S^2(\nu^*)$ ; i.e.  $d_{\mathcal{F}}(g_{\nu}) = 0$ . Thus, by modifying only  $g_{\nu}$  for each

metric g, it is clear that Corollary 1.4 follows from the following result by using Corollary 1.3 with V = Q.

**Lemma 5.1** Suppose  $\mathcal{F}$  is Riemannian and M is closed with a fixed bundle-like metric. Then the corresponding leafwise heat operator  $e^{-t\Delta_{\mathcal{F}}}$  on  $\Omega(\mathcal{F},Q)$  preserves  $C^{\infty}(Q^+)$  for each  $t \in [0,\infty]$ .

*Proof.* Consider the metric  $\bar{g}$  on  $\nu$  determined as above by the bundle-like metric on M. Let  $\nu^1$  be the sphere bundle over M given by the normal vectors of  $\bar{g}$ -norm one. Then the result follows by checking that, for any  $g \in C^{\infty}(Q^+)$ , we have

$$\min_{v \in \nu^1} \left( e^{-t\Delta_{\mathcal{F}}} g \right) (v, v) \ge \min_{v \in \nu^1} g(v, v) \quad \text{for all} \quad t \in [0, \infty) .$$

This in turn follows by checking that, for any given  $T \in [0, \infty)$ , if the map  $v \in \nu^1 \mapsto (e^{-T\Delta_{\mathcal{F}}}g)(v, v) \in \mathbf{R}$  reaches the minimum at some  $v_m \in \nu^1_x$  for some  $x \in M$ , then

$$\left. \frac{\partial \left( e^{-t\Delta_{\mathcal{F}}} g \right)}{\partial t} (v_m, v_m) \right|_{t=T} \ge 0 \ .$$

This property can be proved as follows. Extend  $v_m$  to a local field V of normal vectors of  $\bar{g}$ -norm one satisfying  $d_{\mathcal{F}}V=0$ ; this is always possible on some open subset  $U\subset M$  of triviality for  $\mathcal{F}$  since  $d_{\mathcal{F}}\bar{g}=0$ . Then, if P is the plaque in U containing x, the restriction  $f_t$  of  $\left(e^{-t\Delta_{\mathcal{F}}}g\right)(V,V)$  to P satisfies the parabolic equation  $\partial f_t/\partial t + \Delta_P f_t = 0$  and  $f_T$  reaches the minimum at x; here  $\Delta_P$  is the Laplacian on P. Hence

$$\left. \frac{\partial f_t}{\partial t}(x) \right|_{t=T} \ge 0$$

by the maximum principle and the proof is completed.  $\Box$ 

## 6 Dimension of the space of leafwise harmonic forms

Corollary 1.5 is proved in this section. With the notation of that corollary, let  $\phi$  be a nontrivial integrable harmonic r-form on some leaf L with coefficients on V. Such  $\phi$  determines a continuous linear functional  $\tilde{\phi}$  on  $\Omega^{p-r}(\mathcal{F}, V^*)$ ,  $p = \dim \mathcal{F}$ , given by

$$\tilde{\phi}(\psi) = \int_{L} \phi \wedge \psi|_{L} .$$

Thus  $\tilde{\phi}$  is a singular element in  $W^k\Omega^r(\mathcal{F},V)$  for some negative  $k \in \mathbf{Z}$ . Take any sequence  $\phi_i$  in  $\Omega^r(\mathcal{F},V)$  converging to  $\phi$  in  $W^k\Omega^r(\mathcal{F},V)$ . By Corollary 1.3,  $\Pi\phi_i$  is a sequence in  $\Omega^r(\mathcal{F},V) \cap \ker \Delta_{\mathcal{F}}$  converging to the singular  $\tilde{\phi} = \Pi\tilde{\phi}$  in  $W^k\Omega^r(\mathcal{F},V)$ , and so the  $\Pi\phi_i$  generate a space of infinite dimension.

## 7 Application to the second term of the spectral sequence of Riemannian foliations

The objective of this section is to prove Theorem 1.6 and Corollary 1.7. Consider thus the notation and conditions introduced to state those results.

**Lemma 7.1**  $D_1$  and  $\widetilde{D}_1$  are essentially self-adjoint in  $L^2\mathcal{H}_1$  and  $L^2\widetilde{\mathcal{H}}_1$ , respectively.

*Proof.* By Theorem 2.2 in [8],  $D_{\perp}$  is essentially self-adjoint in  $L^2\Omega$ . Then, by using e.g. Lemma XII.1.6–(c) in [11], so is  $\Pi D_{\perp}\Pi$  because  $\Pi$  is a bounded self-adjoint operator on  $L^2\Omega$ . But  $\Pi D_{\perp}\Pi$  is equal to  $D_1$  in  $L^2\mathcal{H}_1$  and vanishes in its orthogonal complement. Hence  $D_1$  is essentially self-adjoint.

The proof that  $D_1$  is essentially self-adjoint is similar.  $\square$ 

Lemma 7.2 We have the following properties:

- (i)  $D\Pi \Pi D_{\perp}\Pi$  defines a bounded operator on  $L^2\Omega$ .
- (ii) For each  $v \in \mathbf{Z}$ ,  $D\widetilde{\Pi}_{\cdot,v} \widetilde{\Pi}_{\cdot,v}D\widetilde{\Pi}_{\cdot,v}$  defines a bounded operator on  $L^2\Omega$ .

*Proof.* Property (i) can be proved as follows. Because  $D_0\Pi = 0$ , we get

$$D\Pi - \Pi D_{\perp}\Pi = (\mathrm{id} - \Pi)D_{\perp}\Pi + (d_{2,-1} + \delta_{-2,1})\Pi$$
,

which is bounded on  $L^2\Omega$  by Remark 3.7 and the formula

$$(\mathrm{id} - \Pi)D_{\perp}\Pi = [D_{\perp}, \Pi]\Pi$$
.

The proof of property (ii) is slightly more complicated. We have

$$D\widetilde{\Pi}_{\cdot,v} - \widetilde{\Pi}_{\cdot,v} D\widetilde{\Pi}_{\cdot,v} = \left[D,\widetilde{\Pi}_{\cdot,v}\right] \widetilde{\Pi}_{\cdot,v} \; .$$

But, if  $\phi = \phi_1 + \phi_2 \in \widetilde{\mathcal{H}}_1^{,v}$  with  $\phi_1 \in \overline{d_{0,1}(\Omega^{\cdot,v-1})}$  and  $\phi_2 \in \overline{\delta_{0,-1}(\Omega^{\cdot,v})}$ , then

$$d_{0,1}\phi_1 = \delta_{0,-1}\phi_2 = 0$$
,  $\delta_{0,-1}\phi_1$ ,  $d_{0,1}\phi_2 \in \widetilde{\mathcal{H}}_1^{\cdot,v}$ ,

yielding  $[D_0, \widetilde{\Pi}_{\cdot,v}]\phi = 0$ . Hence

$$\left[D,\widetilde{\Pi}_{\cdot,v}\right]\widetilde{\Pi}_{\cdot,v} = \left[D_{\perp},\widetilde{\Pi}_{\cdot,v}\right]\widetilde{\Pi}_{\cdot,v} + \left[d_{2,-1} + \delta_{-2,1},\widetilde{\Pi}_{\cdot,v}\right]\widetilde{\Pi}_{\cdot,v} \ .$$

But clearly

$$\left[D_{\perp},\widetilde{\Pi}_{\cdot,v}\right]\widetilde{\Pi}_{\cdot,v} = \widetilde{\Pi}_{\cdot,v-1}D_{\perp}\widetilde{\Pi}_{\cdot,v} + \widetilde{\Pi}_{\cdot,v+1}D_{\perp}\widetilde{\Pi}_{\cdot,v} \; .$$

Therefore the result follows once we have proved that the last two terms define bounded operators on  $L^2\Omega$ . In fact, by taking adjoints, it is enough to prove that one of them defines a bounded operator for arbitrary v.

Let  $\phi = \phi_1 + \phi_2$  as above. Then obviously  $\Pi_{\cdot,v-1}D_{\perp}\phi_1 = 0$ . On the other hand,  $\phi_2$  is the  $C^{\infty}$  limit of  $\delta_{0,-1}\psi_i$  for some sequence  $\psi_i$  in  $\Omega^{\cdot,v}$ . So

$$D_{\perp}\phi = \lim_{i} \left( -\delta_{0,-1} D_{\perp} + K \delta_{0,-1} + \delta_{0,-1} K \right) \psi_{i}$$

by Proposition 3.1, yielding

$$\widetilde{\Pi}_{\cdot,v-1}D_{\perp}\phi = \widetilde{\Pi}_{\cdot,v-1}K\phi$$

because  $\widetilde{\Pi}_{\cdot,v-1}\delta_{0,-1}\Omega^{\cdot,v}=0$  and  $\widetilde{\Pi}_{\cdot,v-1}$  is continuous on  $\Omega$ . Thus  $\widetilde{\Pi}_{\cdot,v-1}D_{\perp}\widetilde{\Pi}_{\cdot,v}$  is bounded on  $L^2\Omega$ .  $\square$ 

Define the norms  $\|\cdot\|_{D_1,k}$  on  $\mathcal{H}_1$  and  $\|\cdot\|_{\widetilde{D}_1,k}$  on  $\widetilde{\mathcal{H}}_1$  by setting

$$\|\phi\|_{D_1,k} = \|(\mathrm{id} + D_1)^k \phi\|_0$$
,  $\|\psi\|_{\widetilde{D}_1,k} = \|(\mathrm{id} + \widetilde{D}_1)^k \psi\|_0$ ,

and let  $W^k \mathcal{H}_1$  and  $W^k \widetilde{\mathcal{H}}_1$  be the corresponding completions of  $\mathcal{H}_1$  and  $\widetilde{\mathcal{H}}_1$ . Then the following result follows directly from Lemma 7.2.

Corollary 7.3 The restrictions of the kth Sobolev norm  $\|\cdot\|_k$  to  $\mathcal{H}_1$  and  $\widetilde{\mathcal{H}}_1$  are respectively equivalent to the norms  $\|\cdot\|_{D_1,k}$  and  $\|\cdot\|_{\widetilde{D}_1,k}$ . Thus  $W^k\mathcal{H}_1$  and  $W^k\widetilde{\mathcal{H}}_1$  are the closures of  $\mathcal{H}_1$  and  $\widetilde{\mathcal{H}}_1$  in  $W^k\Omega$ , respectively.

The inclusions  $W^{k+1}\mathcal{H}_1 \hookrightarrow W^k\mathcal{H}_1$  and  $W^{k+1}\widetilde{\mathcal{H}}_1 \hookrightarrow W^k\widetilde{\mathcal{H}}_1$  are compact operators by Corollary 7.3. Then, by Proposition 2.44 in [5] and Lemma 7.1, the Hilbert spaces  $L^2\mathcal{H}_1$  and  $L^2\widetilde{\mathcal{H}}_1$  have complete orthonormal systems,  $\{\phi_i: i=1,2,\ldots\}\subset\mathcal{H}_1$  and  $\{\tilde{\phi}_i: i=1,2,\ldots\}\subset\widetilde{\mathcal{H}}_1$ , consisting of eigenvectors of  $\Delta_1$  and  $\tilde{\Delta}_1$ , respectively, so that the corresponding eigenvalues satisfy  $0\leq\lambda_1\leq\lambda_2\leq\cdots$ ,  $0\leq\tilde{\lambda}_1\leq\tilde{\lambda}_2\leq\cdots$ , with  $\lambda_i\uparrow\infty$  if  $\dim\mathcal{H}_1=\infty$ , and  $\tilde{\lambda}_i\uparrow\infty$  if  $\dim\widetilde{\mathcal{H}}_1=\infty$ . Thus it only remains to check that  $\tilde{\lambda}_1>0$  to complete the proof of Theorem 1.6; i.e. to check that  $\ker\widetilde{\Delta}_1=0$ . The rest of this section will be devoted to prove this property.

For each  $v \in \mathbf{Z}$  let

$$\mathcal{Z}_{v} = \bigoplus_{w < v} \Omega^{\cdot, w} \oplus \ker \left( d_{0,1} : \Omega^{\cdot, v} \to \Omega^{\cdot, v+1} \right) ,$$

$$\mathcal{B}_{v} = \bigoplus_{w < v} \Omega^{\cdot, w} \oplus d_{0,1} \left( \Omega^{\cdot, v-1} \right) .$$

The bigrading of  $\Omega$  is used to define these spaces only for the sake of simplicity, indeed they depend only on  $\mathcal{F}$  [27], [1]. The de Rham derivative preserves the above spaces, and the quotient topological complex  $(\overline{\mathcal{B}_v}/\mathcal{B}_v, \overline{d})$  is canonically isomorphic to  $(\overline{0}_1, d_1)$ . Moreover we have the following known result whose proof is easy and does not require that  $\mathcal{F}$  be Riemannian and M closed.

Lemma 7.4 (V. Sergiescu [27]; see also [1]) The cohomology of the quotient complex  $\mathcal{B}_v/\mathcal{Z}_{v-1}$  is trivial.

We also have the following canonical identities of topological vector spaces:

$$H\left(\mathcal{B}_{v}/\mathcal{Z}_{v-1}, \bar{d}\right) \equiv d^{-1}(\mathcal{Z}_{v-1})/d(\mathcal{B}_{v}) ,$$

$$H\left(\overline{\mathcal{B}_{v}}/\mathcal{Z}_{v-1}, \bar{d}\right) \equiv d^{-1}(\mathcal{Z}_{v-1})/d\left(\overline{\mathcal{B}_{v}}\right) ,$$

$$H\left(\overline{\mathcal{B}_{v}}/\mathcal{B}_{v}, \bar{d}\right) \equiv d^{-1}(\mathcal{B}_{v})/d\left(\overline{\mathcal{B}_{v}}\right) .$$

Then Lemma 7.4 means  $d^{-1}(\mathcal{Z}_{v-1}) = d(\mathcal{B}_v)$ . So the canonical map

$$H\left(\overline{\mathcal{B}_v}/\mathcal{Z}_{v-1},\bar{d}\right) \to H\left(\overline{\mathcal{B}_v}/\mathcal{B}_v,\bar{d}\right)$$

can be identified with the equality

$$d^{-1}(\mathcal{Z}_{v-1})/d\left(\overline{\mathcal{B}_v}\right) = d^{-1}(\mathcal{B}_v)/d\left(\overline{\mathcal{B}_v}\right) ,$$

and is thus an isomorphism of topological vector spaces.

Now (2) in Theorem 1.2 implies that  $\widetilde{\Pi}_{\cdot,v}$  induces an isomorphism of topological vector spaces between  $\overline{\mathcal{B}_v}/\mathcal{Z}_{v-1}$  and  $\widetilde{\mathcal{H}}_1^{\cdot,v}$  such that  $\widetilde{d}_1$  corresponds to  $\overline{d}$ . Hence  $\widetilde{d}_1^2 = 0$  and  $H\left(\overline{0}_1, d_1\right) \cong H\left(\widetilde{\mathcal{H}}_1, \widetilde{d}_1\right) \cong \ker \widetilde{\Delta}_1$  as topological vector spaces, yielding  $\ker \widetilde{\Delta}_1 = 0$  as desired because  $\ker \widetilde{\Delta}_1$  is Hausdorff while  $H\left(\overline{0}_1, d_1\right)$  has the trivial topology.

Observe that the duality stated in Corollary 1.7 follows because, when M is oriented, the corresponding Hodge star operator commutes with  $\Pi$  and  $\Delta_1$ .

Remark 7.5 Let  $E(\lambda)$  and  $\widetilde{E}\left(\widetilde{\lambda}\right)$  be the eigenspaces corresponding to eigenvalues  $\lambda$  of  $\Delta_1$  and  $\widetilde{\lambda}$  of  $\widetilde{\Delta}_1$ . This eigenspaces have bigradings induced by the bigradings of  $\mathcal{H}_1$  and  $\widetilde{\mathcal{H}}_1$ . Then, if M is oriented, the Hodge star operator also induces duality  $E(\lambda)^{u,v} \cong E(\lambda)^{q-u,p-v}$ , and skew duality  $\widetilde{E}\left(\widetilde{\lambda}\right)^{u,v} \cong \widetilde{E}\left(\widetilde{\lambda}\right)^{q-u-1,p-v+1}$ .

**Remark 7.6** If we use appropriate zero order modifications of  $D_{\perp}$ , then we get the Hodge theoretic version of the results of [10], which have important implications about tenseness.

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